Attorney's Docket No. <u>004906.P040</u>

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Siegfried Luft

Application No.: 09/903,911

Filed:

July 11, 2001

For: Use of Transaction Agents to Perform

**Distributed Transactions** 

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 Examiner: Lewis Alexander

Bullock, Jr.

Art Unit:

2615

# INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

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as first class mail with sufficient postage in an envelope address Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on _	7.00.05
	(Date of Deposit)
Carla Anysia Nascimento	
(Typed or printed name) person mailing corresponden	nce)
(Signature of person mailing correspondence)	(Date)

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has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

	37 C.F.R. §1.97(b).
X	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
	A statement pursuant to 37 C.F.R. §1.97(e) or
_X	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 4/28, 2005

Eric S. Replogle Reg. No. 52,161

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Number-Kind Code <sup>2</sup> (if known)	Substitute	for Form 1449	/PTO		Complete i	if Known	
Filing Date  First Named Inventor:  Steet		INFOR	ΕΝΛΔ	TION DISCLOSUR	Application Number	09/903,911	
Art Unit   2615					Filing Date	July 11, 2001	
Cite No.	VAR.	ŞŞTATE			First Named Inventor:	Siegfried Luft	
Cite No.	O mo	اغتر ک	(use as	many sheets as necessary)	Art Unit	2615	
	WAY OS M	OFFICE			Examiner Name		
	Sheet	ale Par		of	Attorney Docket Number	004906.P040	
Number-Kind Code <sup>2</sup> (if known)   Number-Kind Code <sup>2</sup> (if known)	S. S. J. L.	<i>:</i>		U.S. PATEN	T DOCUMENTS	3	
Us   5,699,523   12-16-1997   Li , et al.		Cite No.1	Numl		Publication Date MM-DD-YYYY		Passages or Relevant
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6
Examine Signature				Date Cor	nsidered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

if you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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## **FEE TRANSMITTAL FOR FY 2005**

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RADEA				TOTAL AMOUNT OF PAY	MENT (\$) 180.00			
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	tion No.		09/90	03,911				
Filing D			July	11, 2001				
First Na	amed In	ventor_	Sieg	ried Luft				
	er Name			s Alexander Bullock, Jr.				
Art Unit	t		2615					
Attorne	y Docke	t No.	0049	06.P040				
Applicant claims small entity status. See 37 CFR 1.27.								
METHO	OD OF	PAYMEI	NT (che	eck all that apply)				
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FEE C	ALCUL.	ATION						
1. <u>BAS</u>	SIC FILI	NG, SE	ARCH,	AND EXAMINATION FEES				
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Provisional application filing fee

Fees Paid (\$)

### 2. EXCESS CLAIM FEES Fee from **Extra Claims** below Total Claims -20 or HP =X \$50.00 =

HP = highest number of total claims paid for, if greater than 20 Independent Claims \_\_\_\_\_ -3 or HP = X \$200.00 =

HP = highest number of independent claims paid for, if greater than 3

**Multiple Dependent Claims** 

Large	Entity	Small I	Entity	
Fee	Fee	Fee	Fee	
Code	(\$)	Code	(\$)	Fee Description
1202	50	2202	25	Each claim over 20
1201	200	2201	100	Each independent claim over 3
1203	360	2203	180	Multiple dependent claims, if not paid
1204	200	2204	100	Reissue: each claim over 20 and more than in the original patent
1205	50	2205	25	Reissue: each independent claim more than in the original patent

SUBTOTAL (2) \$ \_\_\_\_\_

## 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets		Number of each add'l 50 or fraction thereof		Fee from below	Fees paid (\$)
	- 100 =	/50 =	(round <b>up</b> to whole number)	х	\$250.00	

Large i	Entity	Small Entity		
Fee	Fee	Fee	Fee	Fee Description: Application size fee for each additional group of 50 sheets
Code	(\$)	Code	(\$)	beyond initial 100 sheets (count spec & drawings except sequences & program listings):
1081	250	2081	125	Utility
1082	250	2082	125	Design
1083	250	2083	125	Plant
1084	250	2084	125	Reissue

SUBTOTAL (3) \$ 0.00

#### FEE CALCULATION (continued) 4. OTHER FEE(S) Fees Paid (\$) Non-English Specification, \$130 fee (no small entity discount) Small Entity Fee Fee Fee Fee Code (\$) Code (\$) **Fee Description** 1051 130 2051 65 Surcharge - late filing fee or oath 1052 2052 Surcharge - late provisional filing fee or cover sheet 50 25 1053 1053 130 Non-English specification 130 1812 2,520 1812 2,520 For filing a request for ex parte reexamination 1813 8,800 1813 8,800 Request for inter parties reexamination 1804 920\* 1804 920\* Requesting publication of SIR prior to Examiner action 1805 1,840\* 1805 1,840\* Requesting publication of SIR after Examiner action 1251 120 2251 60 Extension for reply within first month 1252 450 2252 225 Extension for reply within second month 1253 1,020 2253 510 Extension for reply within third month 1254 1,590 2254 795 Extension for reply within fourth month 1255 2255 1,080 Extension for reply within fifth month 2,160 1401 250 500 2401 **Notice of Appeal** 250 1402 500 2402 Filing a brief in support of an appeal 1403 1,000 2403 500 Request for oral hearing Petition to institute a public use proceeding 1451 1,510 1451 1,510 1452 500 2452 250 Petition to revive - unavoidable 1453 1.500 2453 750 Petition to revive - unintentional 2501 700 1501 1.400 Utility issue fee (or reissue) 1502 800 2502 400 Design issue fee 1503 1100 2503 550 Plant issue fee Petitions to the Commissioner (CFR 1.17(f) Group I) 1462 400 1462 400 1463 1463 200 200 Petitions to the Commissioner (CFR 1.17(g) Group II) 1464 1464 130 130 Petitions to the Commissioner (CFR 1.17(h) Group III) 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) 1806 1806 180 180 **Submission of Information Disclosure Stmt** \$180.00 8021 8021 40 Recording each patent assignment per 40 property (times number of properties) 395 1809 790 2809 For filing a submission after final rejection (see 37 CFR 1.129(a)) 1814 130 2814 65 **Statutory Disclaimer** 1810 790 2810 395 For each additional invention to be examined (see 37 CFR 1.129(b)) 395 1801 790 2801 Request for Continued Examination (RCE) 900 1802 900 1802 Request for expedited examination of a design application 300 1504 300 1504 Publication fee for early, voluntary, or normal pub. 1505 300 1505 300 Publication fee for republication 1803 130 1803 130 Request for voluntary publication or republication 1808 130 1808 130 Processing fee under 37 CFR 1.17(i) (except provisionals) 1454 1,370 1,370 Acceptance of unintentionally delayed claim for priority Other fee (specify) Other fee (specify) SUBTOTAL (4) \$180.00 \*Reduced by Basic Filing Fee Paid SUBMITTED BY: Typed or Printed Name: Eric Replogle Date: April 28, 2005 Signature:\_\_\_ **Reg. Number:** 52,161 **Telephone Number:** <u>408-720-8300</u>

Send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450